

**EXETER CITY COUNCIL
AUDIT AND GOVERNANCE COMMITTEE**

PROGRESS OF 2013/14 AUDIT PLAN AS AT 30/09/13

Audit Area	Budget Days	Actual Days	Report Status	Direction of travel since last audit	Assurance Rating	Number of findings		
						High	Med	Low

Leader

'A stronger city'

People Management	30	18.4	In progress					
External Funding & Grants	10	3.3	Completed	↔	Good	0	2	0

Enabling Services

'A well run Council'

'Maintain our property assets of the city'

Main Accounting 2013-14	25	11.3						
- Budget Setting & VAT			Completed	↔	Good	0	1	2
- System Management			Start Q4					
- Year end processes			Start Q1 14/15					
Income Management	22	5.2	Start Q4					
Creditors	55	26.5	In progress					
Debtors	12	12.2	Start Q4					
Insurance	5	0.9	Start Q4					
ICT	40	22.8						
- Operations Management			In progress					
- System Security			Start Q4					
Business Continuity	10	0	Start Q4					
Risk Management	10	1.1	Start Q3					
Contracts and Procurement	30	9.2	Ongoing					
Facilities Management (Guildhall Private Hire)	7	8.0	Completed	No previous report	Some improvement required	0	8	3
Counter Fraud/Anti-Fraud	20	3.8	Start Q3					
Corporate governance	15	3.4	Start Q3					
Information Governance	15	3.5	Ongoing					
External Audit & NFI	5	8.6	Completed	↔	Good	0	1	5

Housing and Customer Access

'Provide suitable housing'

'Be a good landlord'

'Help me with my financial problem'

'Make it easy for me to pay you'

Housing	68	31.0						
- Right to Buy			Completed	↓	Some improvement required	1	6	7
- Home Contents Insurance			In progress					
Housing Benefits	60	41.7						
- Welfare Support payments			Completed	No previous report	Some improvement required	0	8	2
- Housing Benefit Subsidy			Start Q3					
Council Tax	30	13.3	In progress					
NDR	11	0.7	Start Q3					

Environment, Health & Wellbeing

'Keep place looking good'

'Keep me/my environment safe'

Health & Safety	12	0.7	Withdrawn					
Allotments	7	9.3	Final	No previous report	Some improvement required	0	8	5
Bereavement Services	8	8.7	Completed	↔	Good	0	3	4
Licensing	7	0.2	Deferred to 14/15					
Fleet Management	7	3.0	In progress					
Sustainability	8	0.8	Deferred to 14/15					

Economy and Culture

'Provide great things for me to see and do'

'Help me run a successful business'

Museums Service	14	2.4	In progress					
Markets and Halls	7	6.0	Completed	No previous report	Good	0	2	4
Leisure Contract	7	11.1	Completed	No previous report	Good	0	6	3
Car Parks	8	0.4	Start Q4					

City Development

'Deliver good development'

Planning Control	10	1.8	Start Q3					
Building Control	8	0.5	Start Q3					
Land Charges	6	5.3	Completed	↔	Good	0	1	2

Contingency (special/frauds)	50	23.3						
Total	639	326.4						

Results of reports finalised during 2013/14 relating to 2012/13 – see Appendix B for summary of reports

Audit Area			Report Status	Direction of travel since last audit	Assurance Rating	Number of findings		
						H	M	L
Main Accounting 2012-13 Part 2 Final Accounts			Completed	↔	Good	0	1	4
Creditors Duplicate Payments 2012-13			Completed	↔	Good	0	4	2
Debtors 2012-13			Completed	↔	Fundamental Weakness	0	11	19
HB Subsidy 12/13			Completed	↓	Some improvement required	1	3	9